

Constant Field Extensions

Unit 19

Gil Cohen

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Constant field extensions

Definition 1 (Constant field extensions)

Let E/K be a function field, and L a field extension of K . Denote

$$F = LE.$$

Assuming F/L is a function field we say that F/L is a **constant field extension** of E/K .

It is not generally true that F/L as defined above is a function field, even assuming L/K is finite. But, as we will show, this is the case if L/K is separable.

Recall that if K is a finite field and L/K is finite, then L/K is separable. Indeed, finite fields are perfect.

Overview

- 1 An extension of the primitive element theorem
- 2 Separable constant field extensions
- 3 Residue fields under constant field extensions
- 4 Riemann-Roch spaces in finite separable constant field extensions
- 5 The genus in finite separable constant field extensions
- 6 Characterization of constant field invariance

Definition 2

Let M/K be a field extension, and fix an algebraic closure \bar{K} of K containing M . The **normal closure** \hat{M} of M/K is the smallest subfield of \bar{K} containing M that is normal over K .

Assuming M/K is finite we can write

$$M = K(\alpha_1, \dots, \alpha_n)$$

for some $\alpha_1, \dots, \alpha_n \in M$.

Let $\sigma_1, \dots, \sigma_k$ be the embeddings of M in \bar{K} . Then,

$$\hat{M} = K(\{\sigma_i(\alpha_j) \mid i \in [k], j \in [n]\}).$$

In particular, when M/K is finite so is \hat{M}/K .

Normal closure

$$\widehat{M} = K(\{\sigma_i(\alpha_j) \mid i \in [k], j \in [n]\}).$$

Note further that if M/K is separable then \widehat{M}/K is Galois. Indeed,

$$M/K \text{ is separable} \implies \widehat{M}/K \text{ is separable}$$

as we only adjoined K -conjugates of elements that are separable over K .

We conclude that \widehat{M}/K is Galois as it is also normal. In this case we call \widehat{M} the **Galois closure** of M/K .

Compositum of purely inseparable extensions

Lemma 3

Let M/K be a field extension and

$$K \subseteq E_1, E_2 \subseteq M,$$

where E_1, E_2 are purely inseparable over K . Then, E_1E_2 is purely inseparable over K .

Proof.

The set of purely inseparable elements in a field extension is an intermediate field. Indeed, recall that a is purely inseparable over K iff $a^{p^{e_a}} \in K$ for some integer $e_a \geq 0$. So, if a, b are purely inseparable over K then, for $e = \max(e_a, e_b)$,

$$(a + b)^{p^e} = a^{p^e} + b^{p^e} \in F.$$

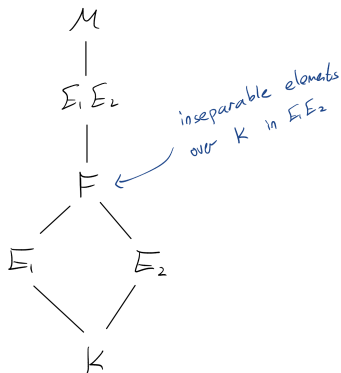
Same for multiplication and inverse.

Compositum of purely inseparable extensions

Proof.

Let F be the field of inseparable elements in E_1E_2 over K . Clearly, $E_1 \subseteq F$ and $E_2 \subseteq F$.

But, E_1E_2 is the smallest field containing E_1, E_2 and so $E_1E_2 = F$.
Namely, all elements in E_1E_2 are purely inseparable over K .



The primitive element theorem

Recall

Theorem 4 (The primitive element theorem)

Every finite separable extension is simple.

Steinitz established the following generalization (note that finite fields are handled differently.)

Theorem 5

Assume K is an infinite field. A finite field extension M/K is simple iff there are finitely many intermediate fields $K \subseteq E \subseteq M$.

The latter implies the former as follows: Let M/K be a finite separable extension, and consider the normal closure \widehat{M} of M/K . Then, \widehat{M}/K is a finite Galois extension. By Galois Theory, there is a finite number of intermediate fields in \widehat{M}/K .

A variant of the primitive element theorem

Lemma 6

Let M/K be a finite field extension, and denote $p = \text{char } K$. Then,

$$[M : K]_i = p \implies M/K \text{ is simple.}$$

Note that the primitive element theorem concludes the same under the assumption $[M : K]_i = 1$.

Proof.

The assertion is trivial for a finite field K .

Using Steinitz' Theorem (Theorem 5), it suffices to prove that M/K has finitely many intermediate fields.

A variant of the primitive element theorem

Proof.

We first show that K has at most one purely inseparable extension

$$K \subsetneq E \subseteq M.$$

Indeed, assume two such extensions E_1, E_2 exist. Then,

$$[E_1 : K] = [E_1 : K]_i \geq p$$

(as it is a power of p and $E_1 \neq K$). Thus, since $E_2 \neq E_1$,

$$[E_1 E_2 : K] > p.$$

But by Lemma 3, $E_1 E_2$ is a purely inseparable extension of K , and so

$$p = [M : K]_i \geq [E_1 E_2 : K]_i = [E_1 E_2 : K] > p,$$

which is a contradiction.

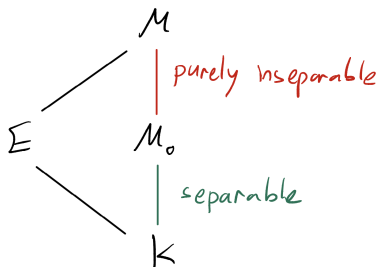
A variant of the primitive element theorem

Proof.

Let M_0 be the separable closure of K in M .

Consider now an intermediate field $K \subseteq E \subseteq M$.

Further consider the separable closure of K in E .



A variant of the primitive element theorem

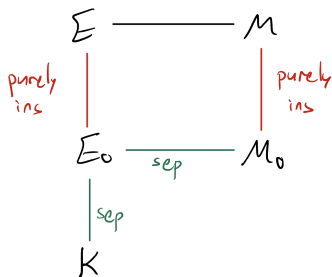
Proof.

We have that

$$[M : E_0]_i = \frac{[M : K]_i}{[E_0 : K]_i} = \frac{p}{1} = p.$$

Thus, E_0 has at most one purely inseparable extension in M (other than E_0), and so we can identify E with E_0 .

But M_0/K is finite and separable, and so it has only finitely many intermediate fields E_0 , which completes the proof. □



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Separable constant field extensions

Theorem 7

Let E/K be a function field and L/K finite and separable. Then, LE/L is a function field.

Proof.

It suffices to prove that for every non-trivial finite extension M/L (namely, $M \neq L$) it holds that $M \not\subseteq LE$.

Indeed, if $\alpha \in LE \setminus L$ is algebraic over L then we can take

$$M = L(\alpha).$$

M/L is finite and $M \neq L$. But, of course, $M \subseteq LE$, in contradiction.

Moreover, we can assume that M/L has no intermediate fields as otherwise we can descend to one.

In particular, M/L is either separable or purely inseparable.

Separable constant field extensions

Proof.

In the second case, we may assume that

$$[M : L]_i = p.$$

Indeed,

$$[M : L] = [M : L]_i = p^e$$

for some $e \geq 1$. Take $\alpha \in M$. If $[L(\alpha) : L] < p^e$ we can descend to $L(\alpha)$. Otherwise, the minimal polynomial of α is

$$(T - \alpha)^{p^e} = T^{p^e} - \alpha^{p^e} \in L[T].$$

Consider $\beta = \alpha^p$. Note that $(T - \beta)^{p^{e-1}} \in L[T]$ vanishes at β and so

$$[L(\beta) : L] \leq p^{e-1}.$$

Thus, we can take $L(\beta)$ instead of M and proceed this way until we get a degree p inseparable extension of L .

Separable constant field extensions

Proof.

In any case,

$$[M : L]_i \in \{1, p\},$$

and so, since L/K is separable,

$$[M : K]_i = [M : L]_i \cdot [L : K]_i \in \{1, p\}.$$

The primitive element theorem and its extension given by Lemma 6 imply that M/K is simple, namely, for some $\beta \in M$,

$$M = K(\beta).$$

$$\begin{array}{ccccc} E & \text{---} & LE & \text{---} & ME \\ | & & | & & | \\ K & \text{---} & L & \text{---} & M = K(\beta) \end{array}$$

Separable constant field extensions

Recall a claim we proved when we talked about normal extensions.

Claim 8

Let E/K be a field extension s.t. K is algebraically closed in E . Then,

$$f \in K[x] \text{ is irreducible} \implies f \text{ is irreducible in } E[x].$$

With this we return to the proof of Theorem 7.

Proof. (Proof of Theorem 7)

Let $f(x) \in K[x]$ be the minimal polynomial of β over K . Then, by Claim 8, $f(x)$ is also the minimal polynomial of β over E .

$$\begin{array}{ccccc} E & \text{---} & LE & \text{---} & ME \\ | & & | & & | \\ K & \text{---} & L & \text{---} & M = K(\beta) \end{array}$$

Separable constant field extensions

Proof.

Let $f(x) \in K[x]$ be the minimal polynomial of β over K . Then, $f(x)$ is also the minimal polynomial of β over E . Thus,

$$ME = EK(\beta) = E(\beta),$$

and

$$[ME : E] = \deg f = [M : K].$$

But, $M \neq L$ and so

$$[ME : E] = [M : K] > [L : K] \geq [LE : E].$$

Thus, $ME \neq LE$, and so $M \not\subseteq LE$, as desired.

$$\begin{array}{ccccc} E & \text{---} & LE & \text{---} & ME = E(\beta) \\ | & & | & & | \\ K & \text{---} & L & \text{---} & M = K(\beta) \end{array}$$

Separable constant field extensions

From this point on up until the last part of this unit,

$$F/L = LE/L$$

refers to a constant field extension of E/K where L is a **finite separable** extension of K .

Separable constant field extensions

Lemma 9

Under the above,

$$[F : E] = [L : K].$$

Moreover, $\forall \alpha \in \mathcal{D}(E/K)$ it holds that

$$\deg_F \alpha = \deg_E \alpha.$$

Proof.

Since L/K is separable, $L = K(\alpha)$ for some $\alpha \in L$. Thus,

$$F = LE = E(\alpha).$$

Let $f(x) \in K[x]$ be the minimal polynomial of α over K . By Claim 8, $f(x)$ is irreducible over E , and so

$$[F : E] = [E(\alpha) : E] = \deg f = [K(\alpha) : K] = [L : K].$$

Separable constant field extensions

Proof.

Per our assumption that L/K is finite we have that F/E is finite.

We proved in the previous unit that for a finite extension F/E ,

$$\deg_F \alpha = \frac{[F : E]}{[L : K]} \cdot \deg_E \alpha.$$

Thus, in our case,

$$\deg_F \alpha = \deg_E \alpha.$$

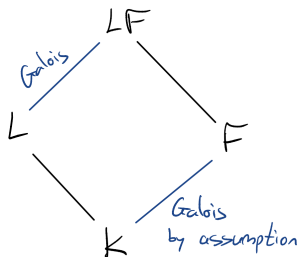
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A lemma from Galois Theory

Lemma 10

Let $K \subseteq L, F$ be fields s.t. F/K is Galois. Then LF/L is Galois.



Proof.

The separability of LF/L is clear. Indeed, every element of F is separable over K , let alone over L . Thus, every element of LF is separable over L .

We turn to prove normality.

A lemma from Galois Theory

Proof.

As for normality, recall the characterization of normal extensions as splitting fields.

As F/K is normal, F is the splitting field of

$$\{f_j(x) \in K[x]\}_{j \in J}.$$

Let $S_j \subseteq K$ be the roots of $f_j(x)$, and $S = \cup_j S_j$. Then, $F = K(S)$. But then

$$LF = LK(S) = L(S)$$

is the splitting field of

$$\{f_j(x) \in L[x]\}_{j \in J}.$$

Hence, LF/L is normal. □

Residue fields under constant field extensions

Theorem 11

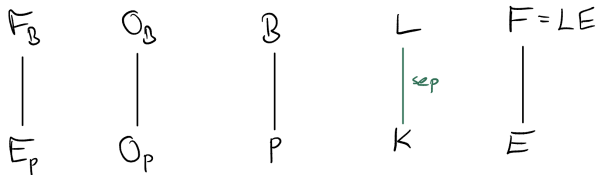
Let \mathfrak{P} be a prime divisor of F/L lying over \mathfrak{p} in E/K . Then,

$$F_{\mathfrak{P}} = (LE)_{\mathfrak{P}} = LE_{\mathfrak{p}}.$$

Proof.

The \supseteq direction follows as both $L, E_{\mathfrak{p}} \subseteq F_{\mathfrak{P}}$.

Take $\bar{z} \in F_{\mathfrak{P}}$. We want to show $\bar{z} \in LE_{\mathfrak{p}}$.



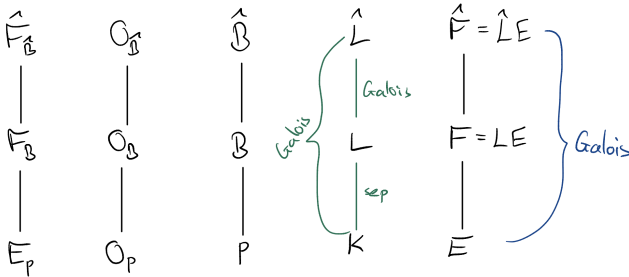
Residue fields under constant field extensions

Proof.

Let \hat{L} be the normal closure of L/K . Recall that \hat{L}/K is not only normal but also separable since L/K is separable. So \hat{L}/K is Galois.

By Lemma 10,

$$\hat{L}/K \text{ is Galois} \implies (\hat{L}E)/(KE) = \hat{F}/E \text{ is Galois.}$$



Residue fields under constant field extensions

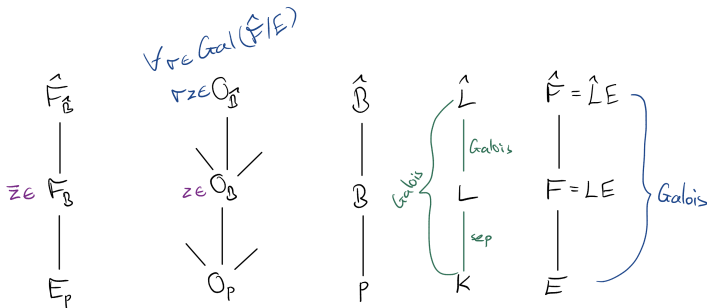
Proof.

Fix $\widehat{\mathfrak{P}}/\mathfrak{P}$. We turn to prove that \bar{z} has a representative $z \in \mathcal{O}_{\mathfrak{P}}$ s.t.

$$\forall \sigma \in \text{Gal}(\widehat{F}/E) \quad \sigma z \in \mathcal{O}_{\widehat{\mathfrak{P}}}.$$

Let $z' \in \mathcal{O}_{\mathfrak{P}}$ that represents \bar{z} . By the WAT, $\exists z \in F$ s.t.

$$v_{\mathfrak{P}}(z - z') > 0 \quad \text{and} \quad \forall \mathfrak{P}'/\mathfrak{p} \in \mathbb{P}_F \setminus \{\mathfrak{P}\} \quad v_{\mathfrak{P}'}(z) \geq 0.$$



Residue fields under constant field extensions

Proof.

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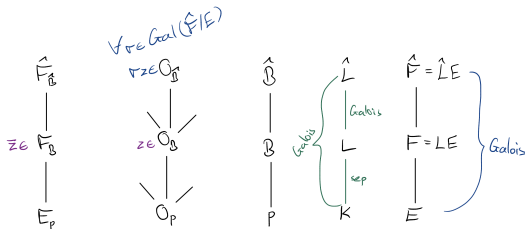
$$v_{\mathfrak{P}}(z - z') > 0 \quad \text{and} \quad \forall \mathfrak{P}'/\mathfrak{p} \in \mathbb{P}_F \setminus \{\mathfrak{P}\} \quad v_{\mathfrak{P}'}(z) \geq 0.$$

Thus, z is also a representative of \bar{z} . Moreover,

$$\forall \widehat{\mathfrak{P}}'/\widehat{\mathfrak{p}} \in \mathbb{P}_{\widehat{F}} \quad v_{\widehat{\mathfrak{P}}'}(z) \geq 0,$$

and so

$$\forall \sigma \in \text{Gal}(\widehat{F}/E) \quad v_{\widehat{\mathfrak{P}}}(\sigma z) = v_{\sigma^{-1}\widehat{\mathfrak{P}}}(z) \geq 0 \quad \implies \quad \sigma z \in \mathcal{O}_{\widehat{\mathfrak{P}}}.$$



Residue fields under constant field extensions

Proof.

Denote $n = [L : K]$ and let α be a primitive element of L/K . Then,

$$1, \alpha, \alpha^2, \dots, \alpha^{n-1}$$

is a basis for L/K .

By Lemma 9,

$$[F : E]_s = [F : E] = [L : K] = n,$$

so there are precisely n distinct embeddings of $F \hookrightarrow \widehat{F}$ over E which we denote by $\sigma_0, \dots, \sigma_{n-1}$.

We have that

$$F = LE = E(\alpha)$$

and so $1, \alpha, \dots, \alpha^{n-1}$ is also a basis for F/E . Thus,

$$z = \sum_{j=0}^{n-1} x_j \alpha^j \quad x_0, \dots, x_{n-1} \in E.$$



Residue fields under constant field extensions

Proof.

$$z = \sum_{j=0}^{n-1} x_j \alpha^j \quad x_0, \dots, x_{n-1} \in E.$$

Thus, for $i = 0, 1, \dots, n-1$,

$$\sigma_i z = \sum_{j=0}^{n-1} x_j (\sigma_i \alpha)^j.$$

Thus we are looking at a linear system of n equations in n unknowns x_0, \dots, x_{n-1} . The corresponding matrix A satisfies

$$A_{i,j} = (\sigma_i \alpha)^j.$$

Observe that A is a matrix over \widehat{L} . Indeed, $\alpha \in L$, $(\sigma_i)|_K = \text{id}_K$ and so $(\sigma_i)|_L : L \rightarrow \widehat{L}$. Thus, $\sigma_i \alpha \in \widehat{L}$.

Residue fields under constant field extensions

Proof.

$$A_{i,j} = (\sigma_i \alpha)^j \in \widehat{L}.$$

Note that A is a Vandermonde matrix and so

$$\det A = \prod_{j < \ell} (\sigma_\ell \alpha - \sigma_j \alpha).$$

Since L/K is separable, $\sigma_\ell \alpha \neq \sigma_j \alpha$ for $j \neq \ell$. Indeed, otherwise σ_ℓ and σ_j will be equal on $K(\alpha) = L$.

Thus, $\det A \neq 0$ and so A has an inverse B over \widehat{L} . So,

$$\forall 0 \leq j < n \quad x_j = \sum_{i=0}^{n-1} b_{ji} \sigma_i z \in \text{Span}_{\widehat{L}}(\sigma_0 z, \dots, \sigma_{n-1} z).$$

But, recall that $x_j \in E$.

Residue fields under constant field extensions

Proof.

$$\forall j \quad x_j \in E \cap \text{Span}_{\widehat{L}}(\sigma_0 z, \dots, \sigma_{n-1} z).$$

Recap. recall that we took $\bar{z} \in F_{\mathfrak{p}}$ and we wish to prove that $\bar{z} \in LE_{\mathfrak{p}}$. We further took a representative $z \in \mathcal{O}_{\mathfrak{p}}$ s.t.

$$\sigma_0 z, \dots, \sigma_{n-1} z \in \mathcal{O}_{\widehat{\mathfrak{p}}}.$$

But $\widehat{L} \subseteq \mathcal{O}_{\widehat{\mathfrak{p}}}$ and so

$$x_0, \dots, x_{n-1} \in E \cap \mathcal{O}_{\widehat{\mathfrak{p}}} = \mathcal{O}_{\mathfrak{p}}.$$

Recall that $z = \sum_{j=0}^{n-1} x_j \alpha^j$ and so

$$\bar{z} = \sum_{j=0}^{n-1} \bar{x}_j \alpha^j \in E_{\mathfrak{p}} L.$$



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Riemann-Roch spaces in separable constant field extensions

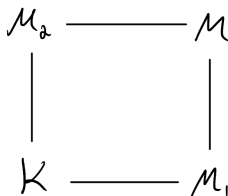
We recall the following basic fact from Galois Theory.

Claim 12

Let M_1, M_2 be two field extensions of a field K , and assume M extend both M_1 and M_2 .

Assume that every set $A_1 \subseteq M_1$ that is linearly independent over K is also linearly independent over M_2 (where we think of $A_1 \subseteq M$).

Then, every set $A_2 \subseteq M_2$ that is linearly independent over K is also linearly independent over M_1 (where we think of $A_2 \subseteq M$).



Proof.

Let $y_1, \dots, y_n \in M_2$ be linearly independent over K . Take $\alpha_1, \dots, \alpha_n \in M_1$ s.t.

$$\sum_{i=1}^n \alpha_i y_i = 0.$$

We wish to show that $\alpha_1 = \dots = \alpha_n = 0$.

Let $x_1, \dots, x_m \in M_1$ be a basis of

$$\text{Span}_K(\alpha_1, \dots, \alpha_n) \subseteq M_1.$$

Then, for every $i \in [n]$,

$$\alpha_i = \sum_{k=1}^m b_{ik} x_k,$$

with $\{b_{ik}\}_{i,k} \subseteq K$.

Riemann-Roch spaces in separable constant field extensions

Proof.

$$\sum_{i=1}^n \alpha_i y_i = 0 \quad \alpha_i = \sum_{k=1}^m b_{ik} x_k.$$

So,

$$0 = \sum_{i=1}^n \left(\sum_{k=1}^m b_{ik} x_k \right) y_i = \sum_{k=1}^m \left(\sum_{i=1}^n b_{ik} y_i \right) x_k.$$

Recall that Let $x_1, \dots, x_m \in M_1$ are linearly independent over K . Thus, per our assumption they are also linearly independent over M_2 .

Recall that $\{b_{ik}\}_{i,k} \subseteq K$ and that $y_1, \dots, y_n \subseteq M_2$. Thus, for every $k \in [m]$,

$$\sum_{i=1}^n b_{ik} y_i = 0.$$

But y_1, \dots, y_n are linearly independent over K and so $b_{ik} = 0$, and so $\alpha_1 = \dots = \alpha_n = 0$.

Riemann-Roch spaces in separable constant field extensions

Corollary 13

Assume F/L is a constant field extension of E/K with L/K finite and separable.

If $A \subseteq E$ is linearly independent over K then (viewed as a subset of F) A is linearly independent over L .

Proof.

By Claim 12, it suffices to prove that if $A \subseteq L$ is linearly independent over K then A is also linearly independent over E .

$$\begin{array}{ccc} E & \text{---} & F = LE \\ | & & | \\ K & \text{---} & L \end{array}$$

Riemann-Roch spaces in separable constant field extensions

Proof.

Since L/K is separable and finite, $L = K(\alpha)$ for some $\alpha \in L$.

Denote $n = [L : K]$. Then $1, \alpha, \dots, \alpha^{n-1}$ is a basis for L/K . Now,

$$F = EL = E(\alpha)$$

and, as we proved, the minimal polynomial f of α over K is also its minimal polynomial over E , and so

$$[F : E] = \deg f = [L : K] = n.$$

Thus, $1, \alpha, \dots, \alpha^{n-1}$ is also a basis for F/E .

Riemann-Roch spaces in separable constant field extensions

Proof.

Now take $\beta_1, \dots, \beta_m \in L$ that are linearly independent over K .

Complete this to a basis β_1, \dots, β_n of L/K .

Then, there is an invertible matrix M over K that changes bases from β_1, \dots, β_n to $1, \alpha, \dots, \alpha^{n-1}$.

M is also invertible as a matrix over E and so, since $1, \alpha, \dots, \alpha^{n-1}$ is a basis of F/E then β_1, \dots, β_n is also a basis of F/E .

In particular, β_1, \dots, β_m are linearly independent over E .

Riemann-Roch spaces in separable constant field extensions

Recall that if \mathfrak{a} is a divisor of E/K then $\text{Con}(\mathfrak{a})$ is the “respective” divisor in F/L . Indeed, for a prime divisor $\mathfrak{p} \in E/L$ we defined

$$\text{Con}(\mathfrak{p}) = \sum_{\mathfrak{P}/\mathfrak{p}} e(\mathfrak{P}/\mathfrak{p})\mathfrak{P},$$

and $\text{Con}(\mathfrak{a})$ was extended by linearity.

To keep notation simple, we denote $\text{Con}(\mathfrak{a})$ by \mathfrak{a} and infer from context. In particular, for a divisor \mathfrak{a} of E/K , we use the convention

$$\mathcal{L}_F(\mathfrak{a}) = \mathcal{L}_F(\text{Con}(\mathfrak{a})).$$

Theorem 14

Let \mathfrak{a} be a divisor of E/K . Then,

$$\mathcal{L}_F(\mathfrak{a}) = L\mathcal{L}_E(\mathfrak{a}) = \text{Span}_L(\mathcal{L}_E(\mathfrak{a})).$$

Riemann-Roch spaces in separable constant field extensions

Proof.

We have that

$$\begin{aligned}\mathcal{L}_E(\mathfrak{a}) &= \{x \in E \mid (x) + \mathfrak{a} \geq 0\} \\ &\subseteq \{x \in F \mid (x) + \mathfrak{a} \geq 0\} = \mathcal{L}_F(\mathfrak{a}).\end{aligned}$$

Note that the more elaborated way of writing this is as follows:

$$\begin{aligned}\mathcal{L}_E(\mathfrak{a}) &= \{x \in E \mid (x) + \mathfrak{a} \geq 0\} \\ &= \{x \in E \mid \text{Con}((x) + \mathfrak{a}) \geq 0\} \\ &= \{x \in E \mid \text{Con}(x) + \text{Con } \mathfrak{a} \geq 0\} \\ &\subseteq \{y \in F \mid (y) + \text{Con } \mathfrak{a} \geq 0\} = \mathcal{L}_F(\mathfrak{a}).\end{aligned}$$

Anyhow, $\mathcal{L}_E(\mathfrak{a}) \subseteq \mathcal{L}_F(\mathfrak{a})$. But $\mathcal{L}_F(\mathfrak{a})$ is an L-vector space, and so

$$L\mathcal{L}_E(\mathfrak{a}) \subseteq \mathcal{L}_F(\mathfrak{a}).$$

Riemann-Roch spaces in separable constant field extensions

Proof.

We turn to prove the other direction, namely, $\mathcal{L}_F(\mathfrak{a}) \subseteq L\mathcal{L}_E(\mathfrak{a})$. To this end take $z \in \mathcal{L}_F(\mathfrak{a})$ and consider again the Galois closure \widehat{L} of L/K . We turn to prove that

$$\forall \sigma \in \text{Gal}(\widehat{F}/E) \quad \sigma z \in \mathcal{L}_{\widehat{F}}(\mathfrak{a}).$$

$$\forall \sigma \in \text{Gal}(\widehat{F}/E)$$

$$\sigma z \in \mathcal{L}_{\widehat{F}}(\mathfrak{a})$$

$$z \in \mathcal{L}_F(\mathfrak{a})$$

$$\mathcal{L}_E(\mathfrak{a})$$

$$\begin{array}{c} \widehat{L}E = \widehat{F} \\ | \\ LE = F \\ | \\ E \end{array} \left. \vphantom{\begin{array}{c} \widehat{L}E = \widehat{F} \\ | \\ LE = F \\ | \\ E \end{array}} \right\} \text{Galois}$$

$$\begin{array}{c} \widehat{L} \\ | \text{Galois} \\ L \\ | \text{sep} \\ K \end{array} \left. \vphantom{\begin{array}{c} \widehat{L} \\ | \text{Galois} \\ L \\ | \text{sep} \\ K \end{array}} \right\} \text{Galois}$$

Riemann-Roch spaces in separable constant field extensions

Proof.

As $z \in \mathcal{L}_F(\mathfrak{a})$,

$$(z) + \mathfrak{a} \geq 0$$

as divisors of \widehat{F}/\widehat{L} . Namely,

$$\forall \widehat{\mathfrak{P}} \in \mathbb{P}_{\widehat{F}/\widehat{L}} \quad v_{\widehat{\mathfrak{P}}}(z) + v_{\widehat{\mathfrak{P}}}(\mathfrak{a}) \geq 0.$$

In particular, for every such $\widehat{\mathfrak{P}}$,

$$v_{\sigma^{-1}\widehat{\mathfrak{P}}}(z) + v_{\sigma^{-1}\widehat{\mathfrak{P}}}(\mathfrak{a}) \geq 0.$$

But, \widehat{F}/E is Galois, and so

$$\begin{aligned} v_{\widehat{\mathfrak{P}}}(\mathfrak{a}) &= e(\widehat{\mathfrak{P}}/\mathfrak{p})v_{\mathfrak{p}}(\mathfrak{a}) \\ &= e(\sigma^{-1}\widehat{\mathfrak{P}}/\mathfrak{p})v_{\mathfrak{p}}(\mathfrak{a}) \\ &= v_{\sigma^{-1}\widehat{\mathfrak{P}}}(\mathfrak{a}). \end{aligned}$$

Riemann-Roch spaces in separable constant field extensions

Proof.

So far,

$$\forall \widehat{\mathfrak{P}} \quad v_{\sigma^{-1}\widehat{\mathfrak{P}}}(z) + v_{\sigma^{-1}\widehat{\mathfrak{P}}}(\mathfrak{a}) \geq 0.$$

and

$$v_{\widehat{\mathfrak{P}}}(\mathfrak{a}) = v_{\sigma^{-1}\widehat{\mathfrak{P}}}(\mathfrak{a}).$$

Thus,

$$\begin{aligned} v_{\widehat{\mathfrak{P}}}(\sigma z) + v_{\widehat{\mathfrak{P}}}(\mathfrak{a}) &= v_{\sigma^{-1}\widehat{\mathfrak{P}}}(z) + v_{\widehat{\mathfrak{P}}}(\mathfrak{a}) \\ &= v_{\sigma^{-1}\widehat{\mathfrak{P}}}(z) + v_{\sigma^{-1}\widehat{\mathfrak{P}}}(\mathfrak{a}) \geq 0. \end{aligned}$$

That is,

$$(\sigma z) + \mathfrak{a} \geq 0$$

as divisors of \widehat{F}/\widehat{L} , and so

$$\sigma z \in \mathcal{L}_{\widehat{F}}(\mathfrak{a}).$$

Proof.

By inspecting the proof of Theorem 11, we can write

$$z = \sum_{j=0}^{n-1} x_j \alpha^j$$

with

$$\begin{aligned} x_j &\in E \cap \text{Span}_{\widehat{L}} \left(\left\{ \sigma z \mid \sigma \in \text{Gal}(\widehat{F}/E) \right\} \right) \\ &\subseteq E \cap \mathcal{L}_{\widehat{F}}(\mathfrak{a}) \\ &= \mathcal{L}_E(\mathfrak{a}). \end{aligned}$$

Therefore, as $\alpha \in L$,

$$z \in L\mathcal{L}_E(\mathfrak{a}).$$

Riemann-Roch spaces in separable constant field extensions

Corollary 15

With the above notations,

$$\dim_F \mathfrak{a} = \dim_E \mathfrak{a}.$$

Proof.

By Theorem 14,

$$\mathcal{L}_F(\mathfrak{a}) = L\mathcal{L}_E(\mathfrak{a}) = \text{Span}_L(\mathcal{L}_E(\mathfrak{a})).$$

Now,

$$\begin{aligned}\dim_F \mathfrak{a} &= \dim_L \mathcal{L}_F(\mathfrak{a}) = \dim_L \text{Span}_L(\mathcal{L}_E(\mathfrak{a})), \\ \dim_E \mathfrak{a} &= \dim_K \mathcal{L}_E(\mathfrak{a}).\end{aligned}$$

Thus, we need to show that

$$\dim_K \mathcal{L}_E(\mathfrak{a}) = \dim_L \text{Span}_L(\mathcal{L}_E(\mathfrak{a})).$$

Riemann-Roch spaces in finite separable constant field extensions

Proof.

We need to show that

$$\dim_K \mathcal{L}_E(\mathfrak{a}) = \dim_L \text{Span}_L(\mathcal{L}_E(\mathfrak{a})).$$

Corollary 13 states that if $A \subseteq E$ is linearly independent over K then (viewed as a subset of F) A is linearly independent over L . Taking A to be a basis of $\mathcal{L}_E(\mathfrak{a})$ (over K) yields the \leq direction.

The \geq direction readily follows since there is a basis for $\text{Span}_L(\mathcal{L}_E(\mathfrak{a}))$ (over L) that is contained in $\mathcal{L}_E(\mathfrak{a})$. Such a basis certainly remains independent over K . □

Overview

- 1 An extension of the primitive element theorem
- 2 Separable constant field extensions
- 3 Residue fields under constant field extensions
- 4 Riemann-Roch spaces in finite separable constant field extensions
- 5 The genus in finite separable constant field extensions**
- 6 Characterization of constant field invariance

The genus in finite separable constant field extensions

Theorem 16

If F/L is a finite separable constant field extension of E/K and the respective genera are g_F, g_E then

$$g_F = g_E.$$

Proof.

Take \mathfrak{p} a prime divisor of E/K and $k \in \mathbb{N}$ large enough so that

$$\min(\deg_E \mathfrak{a}, \deg_F \mathfrak{a}) \geq k \geq \max(2g_E - 2, 2g_F - 2),$$

where $\mathfrak{a} = k\mathfrak{p}$. By Riemann-Roch,

$$\dim_E \mathfrak{a} = \deg_E \mathfrak{a} + 1 - g_E,$$

$$\dim_F \mathfrak{a} = \deg_F \mathfrak{a} + 1 - g_F.$$

The genus in finite separable constant field extensions

Proof.

$$\dim_E \mathfrak{a} = \deg_E \mathfrak{a} + 1 - g_E,$$

$$\dim_F \mathfrak{a} = \deg_F \mathfrak{a} + 1 - g_F.$$

Now, by Lemma 9,

$$\deg_E \mathfrak{a} = \deg_F \mathfrak{a},$$

and by Corollary 15,

$$\dim_E \mathfrak{a} = \dim_F \mathfrak{a}.$$

Therefore, $g_E = g_F$.

Overview

- 1 An extension of the primitive element theorem
- 2 Separable constant field extensions
- 3 Residue fields under constant field extensions
- 4 Riemann-Roch spaces in finite separable constant field extensions
- 5 The genus in finite separable constant field extensions
- 6 Characterization of constant field invariance**

Characterization of constant field invariance

Lemma 17

Let E/K a function field with K a perfect field. Let L/K be an algebraic extension (finite or infinite) and denote $F = EL$. Then,

- 1 L is algebraically closed in F .
- 2 Any subset of E that is K -linearly independent remains so over L .
- 3 For every $x \in E \setminus K$,

$$[E : K(x)] = [F : L(x)].$$

Proof.

We start with Item 1. Take $\gamma \in F$ that is algebraic over L . We wish to show $\gamma \in L$. As $F = EL$,

$$\exists \alpha_1, \dots, \alpha_r \in L \quad \gamma \in E(\alpha_1, \dots, \alpha_r).$$

Now $K(\alpha_1, \dots, \alpha_r)/K$ is finite hence separable, and so $\exists \alpha \in L$ s.t $K(\alpha_1, \dots, \alpha_r) = K(\alpha)$.

Characterization of constant field invariance

Proof.

Recall that γ is algebraic over L and so it is algebraic over K . Indeed, consider the chain $L(\gamma)/L/K$. Thus, $K(\alpha, \gamma)/K$ is finite hence separable, and so

$$\exists \beta \in F \quad K(\alpha, \gamma) = K(\beta).$$

Adjoining E we get that

$$E(\beta) = E(\alpha, \gamma) = E(\alpha),$$

where the last equality follows since $\gamma \in E(\alpha_1, \dots, \alpha_r) = E(\alpha)$. Hence,

$$[K(\beta) : K] = \deg f_\beta = [E(\beta) : E] = [E(\alpha) : E] = \deg f_\alpha = [K(\alpha) : K].$$

Thus, $K(\alpha) = K(\beta)$ and so

$$\gamma \in K(\beta) = K(\alpha) \subseteq L.$$

Characterization of constant field invariance

Proof.

We turn to prove Item 2. Take $y_1, \dots, y_r \in E$ that are linearly independent over K . Assume that

$$\sum_{i=1}^r \gamma_i y_i = 0 \quad \gamma_1, \dots, \gamma_r \in L.$$

We want to show that $\gamma_1 = \dots = \gamma_r = 0$. Since K is perfect and $K(\gamma_1, \dots, \gamma_r)/K$ is finite hence separable,

$$\exists \alpha \in L \quad K(\gamma_1, \dots, \gamma_r) = K(\alpha).$$

For each $i \in [r]$, write

$$\gamma_i = \sum_{j=0}^{n-1} c_{i,j} \alpha^j \quad c_{i,j} \in K,$$

where $n = [K(\alpha) : K] = \deg f_\alpha$.

Characterization of constant field invariance

Proof.

$$\sum_{i=1}^r \gamma_i y_i = 0 \quad \gamma_1, \dots, \gamma_r \in L,$$

$$\gamma_i = \sum_{j=0}^{n-1} c_{i,j} \alpha^j \quad c_{i,j} \in K.$$

So

$$0 = \sum_{i=1}^r \left(\sum_{j=0}^{n-1} c_{i,j} \alpha^j \right) y_i = \sum_{j=0}^{n-1} \left(\sum_{i=1}^r c_{i,j} y_i \right) \alpha^j.$$

Recall that $1, \alpha, \dots, \alpha^{n-1}$ are linearly independent over E since K is algebraically closed in E .

Characterization of constant field invariance

Proof.

$$0 = \sum_{j=0}^{n-1} \left(\sum_{i=1}^r c_{i,j} y_i \right) \alpha^j.$$

$1, \alpha, \dots, \alpha^{n-1}$ are linearly independent over E . Thus, for every j ,

$$\sum_{i=1}^r c_{i,j} y_i = 0.$$

But $c_{i,j} \in K$ and y_1, \dots, y_r are linearly independent over K and so $c_{i,j} = 0$, and so are the γ_i -s.

Characterization of constant field invariance

Proof.

We turn to prove Item 3, namely,

$$\forall x \in E \setminus K \quad [E : K(x)] = [F : L(x)].$$

The \geq direction follows as we adjoin L to $E/K(x)$ and so the degree can only decrease.

As for the other direction, take $z_1, \dots, z_s \in E$ that are linearly independent over $K(x)$. We wish to show these remain linearly independent over $L(x)$. Otherwise,

$$\sum_{i=1}^s f_i(x) z_i = 0 \quad f_i(x) \in L[x],$$

where not all $f_i(x)$ zeros. Thus, $\{x^j z_i\}_{i,j}$ are linearly dependent over L , and so, by Item 2, also over K . Thus, z_1, \dots, z_s are linearly dependent over $K(x)$ - a contradiction. □

Characterization of constant field invariance

Theorem 18

Let F/L be a finite function field extension of E/K . Assume K is a perfect field. Let \bar{K} be an algebraic closure of K (containing L). Then,

$$[F : E] = [F\bar{K} : E\bar{K}] \cdot [L : K].$$

Proof.

First,

$$[F : E] = [F : EL] \cdot [EL : E].$$

Write $L = K(\alpha)$ and recall that $EL = E(\alpha)$ and that

$$[EL : E] = \deg f_\alpha = [L : K],$$

where f_α is the minimal polynomial of α over K .

So it remains to prove that

$$[F : EL] = [F\bar{K} : E\bar{K}].$$

Characterization of constant field invariance

Proof.

We wish to prove that

$$[F : EL] = [F\bar{K} : E\bar{K}].$$

Fix $x \in E \setminus L$. By Lemma 17 (taking the constant field extension $E\bar{K}/\bar{K}$ of EL/L)

$$[EL : L(x)] = [E\bar{K} : \bar{K}(x)].$$

Similarly, by considering the constant field extension $F\bar{K}/\bar{K}$ of F/L ,

$$[F : L(x)] = [F\bar{K} : \bar{K}(x)].$$

Thus,

$$[F : EL] = \frac{[F : L(x)]}{[EL : L(x)]} = \frac{[F\bar{K} : \bar{K}(x)]}{[E\bar{K} : \bar{K}(x)]} = [F\bar{K} : E\bar{K}].$$



Characterization of constant field invariance

Corollary 19

Let F/L be a finite function field extension of E/K , with K perfect. Assume that $F = E(y)$ and that $\varphi(T) \in E[T]$ is the minimal polynomial of y over E . Then, TFAE:

- 1 $L = K$.
- 2 $\varphi(T)$ is irreducible in $E\bar{K}[T]$.

Proof.

By Theorem 18,

$$[F : E] = [F\bar{K} : E\bar{K}] \cdot [L : K],$$

and so (1) is equivalent to

$$[F : E] = [F\bar{K} : E\bar{K}].$$

Characterization of constant field invariance

Proof.

So far,

$$(1) \iff [F : E] = [F\bar{K} : E\bar{K}].$$

But $F = E(y)$ and so

$$\begin{aligned} [F : E] &= [E(y) : E], \\ [F\bar{K} : E\bar{K}] &= [E\bar{K}(y) : E\bar{K}]. \end{aligned}$$

So

$$(1) \iff [E(y) : E] = [E\bar{K}(y) : E\bar{K}].$$

The proof then follows since also

$$(2) \iff [E(y) : E] = [E\bar{K}(y) : E\bar{K}].$$



Characterization of constant field invariance

Corollary 20

Let F/K be a finite extension of E/K , with K perfect. Then, for every algebraic separable extension L/K ,

$$[F : E] = [FL : EL].$$

Proof.

By Theorem 18,

$$[F : E] = [F\bar{K} : E\bar{K}] \cdot [K : K] = [F\bar{K} : E\bar{K}],$$

and (using that $\bar{K} = \bar{L}$),

$$[FL : EL] = [FL\bar{L} : EL\bar{L}] \cdot [L : L] = [F\bar{K} : E\bar{K}].$$

Therefore

$$[F : E] = [FL : EL].$$



Characterization of constant field invariance

Definition 21

A polynomial $\varphi(T) \in K(x)[T]$ is said to be **absolutely irreducible** if $\varphi(T)$ is irreducible in $\bar{K}(x)[T]$.

Theorem 22

Let F/K be a field extension s.t. $F \neq K$,

$$F = K(x, y),$$

and $[F : K(x)] < \infty$. Assume K is perfect.

Let $\varphi(T) \in K(x)[T]$ be the minimal polynomial of y over $K(x)$. TFAE:

- 1 F/K is a function field;
- 2 $\varphi(T)$ is absolutely irreducible.

Characterization of constant field invariance

Proof.

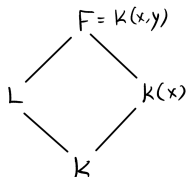
Per our assumption, $F \neq K$, $F = K(x, y)$ and $[F : K(x)] < \infty$. Thus, we need to prove that

K is algebraically closed in $F \iff \varphi(T)$ is absolutely irreducible.

Let L be the algebraic closure of K in F . Note that F/L is a function field. Indeed, $F = L(x, y) \neq L$ (as F/K is of transcendence degree 1 and L/K is algebraic) and

$$[F : L(x)] \leq [F : K(x)] < \infty.$$

Moreover, L is algebraically closed in F (as the algebraic closure of K).



Characterization of constant field invariance

Proof.

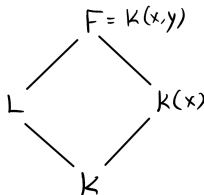
Consider the function field extension F/L over $K(x)/K$. This is a function field extension x is transcendental over K and so

$$L \cap K(x) = K.$$

Since $[F : K(x)] < \infty$, L/K is finite. Indeed,

$$[L : K] = [L(x) : K(x)] = \frac{[F : K(x)]}{[F : L(x)]}.$$

As K is perfect we conclude that L/K is separable.



Characterization of constant field invariance

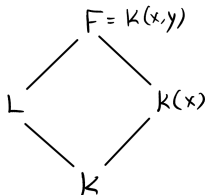
Proof.

L/K is finite and separable, and so by Corollary 19 (with $E = K(x)$),

$$L = K \iff \varphi(T) \text{ is irreducible in } K(x)\bar{K}[T].$$

The proof follows as

$$K(x)\bar{K} = \bar{K}(x).$$



Example

Consider our running example $F = K(x, y)$ where K is a finite field and

$$y^2 = x^3 - x.$$

By Theorem 22, to prove that F/K is a function field, it suffices to prove that

$$T^2 - x^3 + x \in \bar{K}(x)[T]$$

is irreducible.

If this is not the case then

$$T^2 - x^3 + x = (T + a(x))(T + b(x)),$$

with $a(x), b(x) \in \bar{K}(x)$.

Example

$$T^2 - x^3 + x = (T + a(x))(T + b(x)),$$

with $a(x), b(x) \in \bar{K}(x)$.

But then $a(x) = -b(x)$ and

$$a(x)b(x) = x^3 - x,$$

and so

$$a(x)^2 = x - x^3$$

which forces $a(x) \in \bar{K}[x]$ and then yields a contradiction by degree considerations.